



Boutique Financial Planning Principals Association Inc.

APPLICATION FOR MEMBERSHIP

Name of Member: _____ FAAA / SMSFA
Member No: _____

Name of Business Principal (or controlling individual) who is a Practitioner Member of FAAA / SMSFA

Name of AFS Licensee: _____

Business Name: (if different) _____

Business Address: _____

State: _____ PCode: _____ Ph (Bus): _____ Mobile: _____

Email: _____ Website: _____

AFSL No: _____ Date Issued: _____ External compliance consultant: _____

Class of Membership: ☐ Principal ☐ Associate ☐ Affiliate ☐ Retired

(For Associate Member Applications) Estimated date of your AFSL: _____

Total number of staff employed (including Business Principals): _____ Total number of staff on FAR (including Business Principals): _____

How many staff (including Business Principals) hold membership with the following associations?

Financial Advice Association Australia: _____ Self-Managed Super Fund Association: _____ Tax Practitioners Board: _____

Please list other associations you belong to: _____

How did you discover the BFP?: _____

MEMBER DECLARATION:

FAAA members are required to notify the FAAA in writing within 7 days of the occurrence of any "notifiable events". Please read Schedule 4 of the FAAA Member Regulation and confirm you have read this: ☐ Yes ☐ No

If any of the events listed have occurred within the last 12 months, please provide details below and confirm you notified the FAAA as required: ☐ N/A ☐ Yes ☐ No

Are any FAAA members in your business currently the subject of an investigation by the FAAA Conduct Review Commission and if Yes provide details below ☐ Yes ☐ No

(If insufficient space, provide an annexure to the application)



Boutique Financial Planning Principals Association Inc.

ANNUAL MEMBERSHIP FEES:

Membership fees cover the period 1 July to 30 June. Payment for the first year is pro-rated for the period from approval of the application to 30 June, with an invoice for that amount provided to you when you are notified of a successful application. See website for more information.

TERMS:

By submitting this application you agree to the following terms:

- You agree to abide by the rules of the BFP (Boutique Financial Planning Principals Association Inc.) as set out in the Constitution.
- You agree to uphold the values, mission and aims of the BFP.
- You agree that if any information on this Application form is found to be incorrect, that the Application may be deemed invalid and Membership granted as a result of this Application may be forfeited.
- You will not purport to represent the BFP in the media or other public forum, unless specifically authorized by the Executive.
- You agree to not disclose any information of a sensitive commercial nature relating to the BFP, BFP Members or Member Benefits and Discounts.
- The Applicant is to be a key decision-maker via beneficial ownership and control of the AFSL.

Application Name: _____

Applicant Signature: _____ Date: _____

As per the Constitution, new members must be proposed by one BFP member and seconded by another member.

Proposer Name: _____

Proposer Signature: _____ Mobile: _____

Secunder Name: _____

Secunder Signature: _____ Mobile: _____

PRIVACY STATEMENT

The BFP respects your privacy. Any information you give us or is collected by us, will be kept confidential and held with the utmost care, and will not be sold, rented, loaned, or otherwise disclosed and will not be used in ways that you have not consented to.

A Members Register is maintained and is open for inspection by Members on request.

Member's business name and a link to their website are published on the public pages of the BFP website.

ADMINISTRATION USE

Date Application Received _____

Date Rejected or Approved _____

Date Applicant Notified _____

Date Subscription Received _____